

AIFMD Annex IV Reporting Services

The Alternative Investment Fund Managers Directive ("AIFMD") is a comprehensive regulatory framework initiated by the European Commission to supervise alternative investment funds ("AIFs"). AIFMD affects European alternative investment fund managers ("AIFMs") and certain non-European AIFMs marketing AIFs within Europe. This includes hedge funds, private equity funds, fund of funds, real estate funds and venture capital funds.

The European Union's regulatory reporting template is commonly referred to as Annex IV. It is similar, but significantly more extensive, to Form PF reporting done by US registered investment advisers. The Maples Group offers a complete AIFMD Annex IV reporting solution as part of its suite of regulatory and compliance services. Drawing on our resources and professional expertise, supported by ConceptONE's specialised AIFMD platform, we provide an integrated portal that produces Annex IV reporting. Through a portal shared by our team and the AIFM, the relevant data will be populated into the Annex IV template, reviewed and validated prior to submission to the authorities of the relevant member states.

Initialisation

During initialisation, we will work with the AIFM to:

- Set-up the specific parameters for each AIFM and AIF in our reporting solution for Annex IV reporting;
- Review and determine optimal data sources (administrator, AIFM, custodian, etc.);
- Initialise incremental data feeds for source data;
- Map data from source data categorisations to Annex IV compliant data categorisations; and
- Determine any risk calculations required using source data to fully populate Annex IV.

Quarterly Reporting

On an ongoing basis, we will:

- Aggregate position and market data to produce the reporting relating to Annex IV;
- Assist in performing risk calculations required for the Annex IV report;
- Liaise with the AIFM to confirm mappings for new positions held in the portfolios;
- Produce the Annex IV report through an online portal using available data;
- Provide interface for review, approval and submission or issuance of Annex IV; and
- Store submissions, filings and provide audit trailing for future reference related to Annex IV.

In addition, our comprehensive, straightforward AIFMD reporting solution provides:

- Integration with portfolio accounting systems, counterparties, custodians and prime brokers to capture accounting and portfolio data;
- Integration with market data vendors to enrich data;
- Access to populate static and qualitative data, and parameters that drive risk calculations;
- A central calculation engine that drives output for reporting;

- Secure access for multiple users allowing segregation input, review and approval functions;
- Coordination of multiple users through workflow tools and a full audit trail to ensure a controlled and efficient reporting process; and
- An interactive filing interface for reporting in relevant jurisdictions.

The Maples Group's AIFMD solution is available on a standalone basis or as part of our comprehensive full service regulatory and compliance offering, which also supports Form PF, Form CPO-PQR, OPERA, EMIR and FATCA and CRS requirements.

For further information on our services, please contact:

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