



MAPLES
GROUP

Maples Group Introduces Client Portal for Irish Investment Funds

Given the extensive scope of regulation that an Irish externally managed investment fund ("Fund") is subject to and the range of documents and materials each Fund is required to develop and maintain, the need for a centralised, secure and efficient document and policy management system is paramount.

The Maples Group has developed and maintains a bespoke client portal, Nexus, which is designed exclusively for an individual Fund. Nexus serves as a comprehensive policy library for all the Fund's regulatory compliance documentation, ensuring that the Fund's sponsor team and its directors have immediate and secure access to critical information. Nexus can be used to house, amongst other things, compliance policies and procedures, regulatory filings, governance records and miscellaneous documentation such as training records and incident reports.

Nexus Benefits

Custom Branding

- Nexus will be fully branded to reflect the identity of the Fund, providing a seamless experience for users that aligns with the Fund's corporate image.

Document Management System

- Secure storage for all Fund documents.
- Advanced search capabilities to quickly locate documents.
- Version control to ensure users access the most current documents.
- Automated alerts for document updates and new additions.

Policy Repository

- Centralised location for all Fund-level policies.
- Easy navigation and categorisation of policies.
- Notification system for policy updates and reviews.

Access Control

- Tiered access levels to ensure confidentiality and integrity of information.
- Customisable permissions for the sponsor team and the Fund directors.
- Audit trails to monitor document access and changes.

Compliance and Security

- Compliance with GDPR and other relevant regulations.
- State-of-the-art encryption and security protocols.
- Regular security audits and updates.

Support and Maintenance

- Dedicated support team for user assistance and troubleshooting.
- Regular updates and maintenance to ensure optimal performance.

Reporting and Analytics

- Comprehensive reporting tools to track user activity and document access.
- Analytics to provide insights into document usage patterns.

Implementation Plan

Phase 1: Requirements Gathering and Planning

- Collaborate with the Fund's sponsor team and directors to understand your specific needs and preferences.
- Establish a project timeline and key milestones.

Phase 2: Development and Testing

- Custom designed interface and functionality.
- Rigorous testing for usability, security and performance.

Phase 3: Training and Rollout

- Provide training to the sponsor team and Fund directors.
- Officially launch your bespoke Nexus portal with ongoing support.

Phase 4: Ongoing Maintenance and Upgrades

- Schedule regular check-ins to gather feedback and implement improvements.
- Ensure the Portal evolves with the Fund's developing needs.

Conclusion

We are committed to delivering a state-of-the-art online service that meets the needs of each Fund. We believe that Nexus will not only streamline document and policy management but also enhance the overall governance and efficiency of each Fund.

We look forward to discussing this proposal in further detail and tailoring the solution to align perfectly with your requirements.

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