



MAPLES
GROUP

Form CPO-PQR

Commodity Pool Operators subject to new regulatory and reporting requirements from the Dodd Frank legislation benefit from our efficient integrated portal, combining COP-PQR preparation with portfolio risk analytics.

Following the enactment of the Dodd-Frank Wall Street Reform and Consumer Protection Act, the Commodity Futures Trading Commission (CFTC) introduced updated requirements for greater reporting of data as well as appointing and registering a Commodity Pool Operator (CPO). Further, the CFTC and the National Futures Association (NFA) have implemented data aggregation and risk reporting requirements for registered CPOs with Form CPO-PQR requiring registered advisors to provide manager, portfolio and risk information about their funds. This information includes investment strategy, asset classes, risk metrics, collateral, counterparty credit exposure, and the use and levels of leverage, among many other aspects of their operations.

The Maples Group provides an integrated portal that produced Form CPO-PQR reporting, whereby data is populated into the template for review and validation by the CPO prior to submission. Specific services include:

- Aggregating data to produce only the required reporting for relevant sections of Form CPO-PQR;
- Performing risk calculations required for Form CPO-PQR reports that are not available from data sources; and
- Liaising with the CPO to confirm mappings for new positions held in the portfolios.