



MAPLES
GROUP

Anti-Money Laundering Services

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Increased regulatory focus and the implementation of aggressive international sanctions makes AML compliance more important than ever before. We bring unrivalled experience to offer a risk based, cost effective solution that can be tailored to individual requirements.

Compliance with global anti-money laundering (AML) standards continues to be a key issue for the industry. Increased regulatory focus and the implementation of aggressive international sanctions makes AML compliance even more important for firms that establish structures which are subject to local AML legislation in the jurisdictions where they are domiciled.

The Maples Group has unrivalled experience, having supported some of the world's largest financial institutions with the maintenance or delegation of AML and counter-terrorist financing procedures. We offer a risk based, cost effective solution that can be tailored to individual requirements and includes:

- Developing and assessing AML and risk management systems;
- Providing a qualified, named AML professional to act as money laundering reporting officer (MLRO), deputy MLRO or AML compliance officer;
- Managing the suspicious activity reporting process;
- Acting as an ongoing liaison with local regulators, competent authorities or other regulatory or investigative agencies;
- Delivering AML training; and
- Providing an annual AML inspection that is designed to test and evaluate an internal AML programme and related controls.

The Maples Group also provides the following legal services with regard to anti-money laundering and counter-terrorism financing compliance:

- Advising on the maintenance or delegation of Anti-money Laundering (AML) / Counter-terrorism Financing (CTF) procedures
- Development and assessment of AML / CTF and risk management systems
- Advising on suspicious activity reporting and reliance on relevant third party obligations
- Conduct of mock regulatory and AML / CTF inspections
- Provision of advanced AML / CTF training for compliance and reporting officers
- Know Your Customer (KYC) due diligence and customer due diligence best practices

- KYC information verification and screening services
- Management of fraud and forensic inquiries and investigations
- Conduct of money laundering and fraud proceedings
- Provision of expert evidence on AML / CTF and regulatory obligations under British Virgin Islands, Cayman Islands, Irish and Luxembourg law

Maples Compliance Services (Cayman) Limited, part of the Maples Group, has been recognised by the Cayman Islands Monetary Authority as a suitably qualified AML auditor. We can provide AML audit services to SIBL Excluded Persons where we do not already provide AML officer appointments. As part of the AML audit, we will conduct a comprehensive assessment of the entity's AML / CTF systems and procedures and prepare a written report outlining the results of our assessment.