



MAPLES  
GROUP

Conflict Review & Advisory Services

# Conflict Review & Advisory Services

Today's complex regulatory focus on affiliate trading requires special attention. Our dedicated conflict review and advisory team has the technical and operational excellence to deliver a highly responsive and independent service.

In an environment in which there is a growing focus on regulatory and independent oversight, institutions and investment advisers buying or selling securities between affiliated entities or between vehicles they manage may need to obtain specific consent to a transaction. Certain regulations require that consent for such transactions be delegated to an independent party. Recognising the growing demand for competent service providers to review and approve affiliate trades, the Maples Group's dedicated conflict review and advisory team provides guidance on the types of transactions that may be subject to review and on the documentation and supporting information necessary to enable us, as a third-party agent, to review the trades as efficiently and effectively as possible. Our team comprises specialists with relevant technical and operational backgrounds and takes a collaborative approach that ensures the delivery of a highly responsive, tailored, independent service.